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Negative phase velocity in a uniformly moving, homogeneous, isotropic, dielectric-magnetic medium

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Abstract

Homogeneous, isotropic mediums characterized by relative permittivity scalars $\epsilon = \epsilon_R + i\epsilon_I \ (\epsilon_R, \epsilon_I \in \mathbb{R})$ and relative permeability scalars $\mu = \mu_R + i\mu_I \ (\mu_R, \mu_I \in \mathbb{R})$ are well known to support the propagation of plane waves with negative phase velocity (NPV), provided that both $\epsilon_R < 0$ and $\mu_R < 0$. We demonstrate that mediums which do not support NPV propagation when viewed at rest (e.g., mediums with $\epsilon_R > 0$ and $\mu_R > 0$), can support NPV propagation when viewed in a reference frame which is uniformly translated at a sufficiently high velocity. Representative numerical examples are used to explore the constitutive parameter regimes which support NPV propagation under the uniform-velocity condition.

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1. Introduction

In the late 1960s, Veselago speculated upon the properties of a homogeneous, lossless, isotropic dielectric-magnetic medium with relative permittivity scalar $\epsilon < 0$ and relative permeability scalar $\mu < 0$ [1, 2]. A range of exotic and potentially useful phenomenons— such as negative refraction, negative Doppler shift and inverse Čerenkov radiation—were predicted for mediums of this type. After a lapse of 30 years, interest in these types of mediums was rekindled, following experimental evidence of their existence in the form of microwave metamaterials [3, 4]. Subsequent experimental [5, 6] and theoretical [7–9] studies have confirmed Veselago's original thesis; see [10] for an up-to-date review.

A central characteristic of mediums with $\epsilon < 0$ and $\mu < 0$ is that they support the propagation of plane waves with the phase-velocity vector directed opposite to the time-averaged Poynting vector. Accordingly, we describe such mediums as *negative phase-velocity*

(NPV) mediums, in contrast to conventional *positive phase-velocity* (PPV) mediums in which the phase velocity has the same direction as the power flow.

Although $\epsilon < 0$ and $\mu < 0$ is a sufficient condition for NPV propagation, it is not a necessary condition. In fact, for dissipative isotropic dielectric–magnetic mediums with complex-valued $\epsilon = \epsilon_R + i\epsilon_I$ (ϵ_R , $\epsilon_I \in \mathbb{R}$) and complex-valued $\mu = \mu_R + i\mu_I$ (μ_R , $\mu_I \in \mathbb{R}$), it is sufficient for only one of ϵ_R or μ_R to be negative for NPV propagation to develop [11]. The restrictions on the signs of the constitutive parameters may be further reduced by considering anisotropic mediums [12–14].

The following question arises naturally in the present context: Can a medium which is of the PPV type when viewed in a stationary reference frame be of the NPV type when viewed in a reference frame moving at constant velocity? A glimpse of the answer to this question can be found in many textbook treatments of electromagnetic fields in uniformly moving mediums; see [15], for example. But in those treatments, it is assumed that the moving substance has purely instantaneous response and is therefore nondissipative; such mediums are not causal. We, however, address the question comprehensively for dissipative mediums in the following sections.

A note on notation: ϵ_0 and μ_0 are the permittivity and the permeability of free space (i.e. vacuum), respectively; $c_0 = (\epsilon_0 \mu_0)^{-1/2}$ is the speed of light in free space; ω is the angular frequency; $\hat{\mathbf{v}}$ is a unit vector co-directional with \mathbf{v} ; the unit dyadic is \underline{I} and \mathbf{r} denotes the spatial coordinate vector.

2. Analysis

2.1. Minkowski constitutive relations

Suppose an inertial reference frame Σ' moves with a constant velocity $\mathbf{v} = v\hat{\mathbf{v}}$ with respect to an inertial reference frame Σ . By virtue of the Lorentz covariance of the Maxwell postulates, the electromagnetic field phasors in frame Σ are related as

$$\nabla \times \mathbf{E} - i\omega \mathbf{B} = \mathbf{0}$$

$$\nabla \times \mathbf{H} + i\omega \mathbf{D} = \mathbf{0}$$
(1)

and the electromagnetic field phasors in frame Σ' are related as

$$\nabla' \times \mathbf{E}' - i\omega'\mathbf{B}' = \mathbf{0} \nabla' \times \mathbf{H}' + i\omega'\mathbf{D}' = \mathbf{0}$$

$$(2)$$

The relationships between the primed and unprimed phasors in (1) and (2) are provided via the Lorentz transformation as [15]

$$\mathbf{E}' = (\mathbf{E} \cdot \hat{\mathbf{v}})\hat{\mathbf{v}} + \frac{1}{\sqrt{1 - \beta^2}} [(\underline{I} - \hat{\mathbf{v}}\hat{\mathbf{v}}) \cdot \mathbf{E} + \mathbf{v} \times \mathbf{B}]$$
(3)

$$\mathbf{B}' = (\mathbf{B} \cdot \hat{\mathbf{v}})\hat{\mathbf{v}} + \frac{1}{\sqrt{1-\beta^2}} \left[\left(\underline{I} - \hat{\mathbf{v}}\hat{\mathbf{v}} \right) \cdot \mathbf{B} - \frac{\mathbf{v} \times \mathbf{E}}{c_0^2} \right]$$
(4)

$$\mathbf{H}' = (\mathbf{H} \cdot \hat{\mathbf{v}})\hat{\mathbf{v}} + \frac{1}{\sqrt{1 - \beta^2}} [(\underline{I} - \hat{\mathbf{v}}\hat{\mathbf{v}}) \cdot \mathbf{H} - \mathbf{v} \times \mathbf{D}]$$
(5)

$$\mathbf{D}' = (\mathbf{D} \cdot \hat{\mathbf{v}})\hat{\mathbf{v}} + \frac{1}{\sqrt{1 - \beta^2}} \left[\left(\underline{\underline{I}} - \hat{\mathbf{v}}\hat{\mathbf{v}} \right) \cdot \mathbf{D} + \frac{\mathbf{v} \times \mathbf{H}}{c_0^2} \right]$$
(6)

where $\beta = v/c_0$.

Let us now consider a homogeneous, isotropic, dielectric-magnetic medium which is stationary in the reference frame Σ' ; its constitutive relations may be expressed as

$$\mathbf{D}' = \epsilon_0 \epsilon \mathbf{E}' = \epsilon_0 (\epsilon_R + i\epsilon_I) \mathbf{E}' \mathbf{B}' = \mu_0 \mu \mathbf{B}' = \mu_0 (\mu_R + i\mu_I) \mathbf{B}'.$$
(7)

Substituting the transformation equations (3)–(6) into (7) leads to the Minkowski constitutive relations of the dielectric–magnetic medium in the reference frame Σ [15], namely

$$\mathbf{D} = \epsilon_0 \epsilon \underline{\underline{\alpha}} \cdot \mathbf{E} + \frac{m \hat{\mathbf{v}} \times \mathbf{H}}{c_0} \\ \mathbf{B} = -\frac{m \hat{\mathbf{v}} \times \mathbf{E}}{c_0} + \mu_0 \mu \underline{\underline{\alpha}} \cdot \mathbf{H}$$
(8)

wherein

$$\underline{\underline{\alpha}} = \alpha \underline{\underline{I}} + (1 - \alpha) \hat{\mathbf{v}} \hat{\mathbf{v}}$$

$$1 - \beta^2$$
(9)

$$\alpha = \frac{1 - \beta^2}{1 - \epsilon \mu \beta^2} \tag{10}$$

$$m = \beta \frac{\epsilon \mu - 1}{1 - \epsilon \mu \beta^2}.$$
(11)

On setting v = 0, the constitutive relations (8) for Σ degenerate to those of Σ' specified in (7).

2.2. Planewave propagation

We turn now to the propagation of plane waves with field phasors

$$E = E_0 \exp(i\mathbf{k} \cdot \mathbf{r})$$

$$H = E_0 \exp(i\mathbf{k} \cdot \mathbf{r})$$
(12)

in the medium described by the Minkowski constitutive relations (8). A brief outline of the theory is provided here; for further details the reader is referred elsewhere [15-17].

Combining (12) with the Maxwell curl postulates (1), and utilizing the constitutive relations (8) to eliminate D and B, we find that planewave solutions satisfy the relation [15]

$$\underline{W} \cdot \mathbf{E}_0 = \mathbf{0} \tag{13}$$

where

$$\underline{\underline{W}} = \left[\omega^2 \epsilon_0 \epsilon \mu_0 \mu \det(\underline{\underline{\alpha}}) - \left(\mathbf{k} + \frac{\omega m}{c_0} \hat{\mathbf{v}} \right) \cdot \underline{\underline{\alpha}} \cdot \left(\mathbf{k} + \frac{\omega m}{c_0} \hat{\mathbf{v}} \right) \right] \underline{\underline{I}} + \left(\mathbf{k} + \frac{\omega m}{c_0} \hat{\mathbf{v}} \right) \left(\mathbf{k} + \frac{\omega m}{c_0} \hat{\mathbf{v}} \right) \cdot \underline{\underline{\alpha}}.$$
(14)

Without loss of generality, the wave propagation vector \mathbf{k} can be taken along the *z* axis, while the velocity vector lies in the *xz* plane; i.e.

$$\begin{aligned} \mathbf{k} &= k\hat{\mathbf{u}}_z = (k_R + ik_I)\hat{\mathbf{u}}_z \\ \hat{\mathbf{v}} &= \hat{\mathbf{u}}_x \sin\theta + \hat{\mathbf{u}}_z \cos\theta \end{aligned}$$
 (15)

where k_R and k_I are the real and imaginary parts of the wavenumber k. Accordingly, the phase velocity is given by

$$\mathbf{v}_{\rm ph} = \frac{\omega}{k_R} \hat{\mathbf{u}}_z. \tag{16}$$

The dispersion relation

$$\det(\underline{W}) = 0 \tag{17}$$

yields the wavenumbers as

$$k = \frac{\omega}{c_0} \frac{-\beta \xi \cos \theta \pm \sqrt{1 + \xi (1 - \beta^2 \cos^2 \theta)}}{1 - \xi \beta^2 \cos^2 \theta}$$
(18)

with

$$\xi = \frac{\epsilon \mu - 1}{1 - \beta^2}.\tag{19}$$

By selecting the sign of the square root term in (18) to be such that $k_I > 0$, we ensure that the positive z axis is the direction of wave attenuation. Observe that in spite of the Minkowski constitutive relations indicating anisotropy when $v \neq 0$, the medium is unirefringent.

The orthogonality condition

$$\left(\mathbf{k} + m\frac{\omega}{c_0}\hat{\mathbf{v}}\right) \cdot \underline{\underline{\alpha}} \cdot \mathbf{E}_0 = 0 \tag{20}$$

emerges by combining the dispersion relation (17) with (13). In consideration of (20), eigenvector solutions to (13) are provided by linear combinations of the orthogonal pair

$$\mathbf{e}_1 = \mathbf{k} \times \hat{\mathbf{v}} \tag{21}$$

$$\mathbf{e}_2 = \underline{\underline{\alpha}}^{-1} \cdot \left[\left(\mathbf{k} + m \frac{\omega}{c_0} \hat{\mathbf{v}} \right) \times \mathbf{e}_1 \right].$$
(22)

Thus, the electric field phasor E can be set down as [15]

$$\mathbf{E} = (C_1 \,\mathbf{e}_1 + C_2 \,\mathbf{e}_2) \exp(\mathbf{i}kz) \tag{23}$$

wherein C_1 and C_2 are arbitrary constants. The corresponding magnetic field phasor

$$\mathbf{H} = \left[\frac{C_1}{\omega\mu_0\mu}\,\mathbf{e}_2 - \omega\epsilon_0\epsilon C_2\,\mathbf{e}_1\right]\exp(ikz) \tag{24}$$

is provided by the Maxwell curl postulates (1) together with (23) and the constitutive relations (8).

2.3. Poynting vector

An expression of the time-averaged Poynting vector can be derived from the definition

$$\mathbf{P} = \frac{1}{2} \operatorname{Re}(\mathbf{E} \times \mathbf{H}^*) \tag{25}$$

wherein Re(•) denotes the real part and the asterisk denotes the complex conjugate. For lossless dielectric–magnetic mediums (i.e. those mediums characterized by $\epsilon_I = 0$ and $\mu_I = 0$), we have [15]

$$\mathbf{P} = \frac{\left(|C_1|^2 + \omega^2 \epsilon_0 \epsilon_R \mu_0 \mu_R |C_2|^2\right) (\mathbf{k} \times \hat{\mathbf{v}})^2}{2\omega\mu_0\mu_R} \left[\mathbf{k} + \frac{\xi \left(\omega - \mathbf{k} \cdot \mathbf{v}\right)}{c_0^2} \mathbf{v}\right]$$
(26)

but explicit representations for **P** are not readily tractable for dissipative mediums. Our particular interest lies in the component of **P** parallel to the wavevector, namely $P_z = \hat{\mathbf{u}}_z \cdot \mathbf{P}$, for dissipative mediums. When $C_2 = 0$, we find

$$P_z \sim P_{z1} \exp(-2k_I z) \tag{27}$$



Figure 1. For $\epsilon = 3 + i2\delta$ and $\mu = 2 + i\delta$, the real (k_R) and imaginary (k_I) parts of the wavenumber k (normalized with respect to ω/c_0), along with the associated values of P_{z1} and P_{z2} , plotted against θ (in degrees) and β for $\delta = 0.5$.

with

$$P_{z1} = \left\{ \frac{\omega}{c_0 \left(k_R^2 + k_I^2\right)} \left[\left(k_R \epsilon_R + k_I \epsilon_I\right) \left(\mu_R^2 + \mu_I^2\right) - \left(k_R \mu_R - k_I \mu_I\right) \beta^2 \right] - \left[\epsilon_R \left(\mu_R^2 + \mu_I^2\right) - \mu_R \right] \beta \cos \theta \right\}$$
(28)

while

$$P_z \sim P_{z2} \exp(-2k_I z) \tag{29}$$

with

$$P_{z2} = \left\{ \frac{\omega}{c_0 \left(k_R^2 + k_I^2\right)} \left[\left(k_R \mu_R + k_I \mu_I\right) \left(\epsilon_R^2 + \epsilon_I^2\right) - \left(k_R \epsilon_R - k_I \epsilon_I\right) \beta^2 \right] - \left[\mu_R \left(\epsilon_R^2 + \epsilon_I^2\right) - \epsilon_R \right] \beta \cos \theta \right\}$$
(30)

holds when $C_1 = 0$. In view of (23) and (24), it is clear that $P_z > 0$ provided that both $P_{z1} > 0$ and $P_{z2} > 0$.



Figure 2. For $\epsilon = 3 + i2\delta$ and $\mu = 2 + i\delta$, the real (k_R) and imaginary (k_I) parts of the wavenumber k (normalized with respect to ω/c_0), along with the associated values of P_{z1} and P_{z2} , plotted against β for $\theta = 30^{\circ}$ and 150° . Key: the dotted, broken dotted and solid lines denote $\delta = 0.1, 0.5$ and 1, respectively.

3. Numerical results

Let us explore planewave propagation for three scenarios, viz (a) $\epsilon_R > 0$ and $\mu_R > 0$;



Figure 3. For $\epsilon = 3 + i2\delta$ and $\mu = 2 + i\delta$, the real (k_R) and imaginary (k_I) parts of the wavenumber k (normalized with respect to ω/c_0), along with the associated values of P_{z1} and P_{z2} , plotted against θ (in degrees) for $\beta = 0.3$ and 0.9. Key: as in figure 2.

(b) $\epsilon_R > 0$ and $\mu_R < 0$; and

(c) $\epsilon_R < 0$ and $\mu_R < 0$



Figure 4. As figure 1 but with $\epsilon = 3 + i2\delta$ and $\mu = -2 + i\delta$.

by means of representative numerical examples. Note that the case { $\epsilon_R < 0, \mu_R > 0$ } is complementary to (b) and therefore need not be investigated here. The principle of causality imposes the constraints $\epsilon_I > 0$ and $\mu_I > 0$ on actual materials [18].

Temporal dispersion is accommodated through the implicit dependences of ϵ and μ upon ω . The results presented here are independent of whether the dielectric-magnetic medium exhibits normal temporal dispersion or anomalous temporal dispersion. Accordingly, in interpreting results, we consider the relative orientations of energy flow associated with a plane wave (as provided by the Poynting vector) and the phase velocity, but group velocity (which is the velocity of the peak of a wavepacket) is not discussed [15]. The effects of spatial dispersion are also not considered here.

3.1. $\epsilon_R > 0$ and $\mu_R > 0$

For $\epsilon = 3 + i2\delta$ and $\mu = 2 + i\delta$ with $\delta = 0.5$, the real (k_R) and imaginary (k_I) parts of the wavenumber k, together with the associated values of P_{z1} and P_{z2} , are plotted in figure 1 as functions of θ and β . The quantities k_R , k_I , P_{z1} and P_{z2} are >0 across much of the $\theta\beta$ plane. That is, the phase-velocity vector is co-parallel with power flow for most values of θ and β . However, when θ and β are both large, k_R becomes negative while k_I , P_{z1} and P_{z2} remain positive in figure 1. NPV propagation is thereby signified.



Figure 5. As figure 2 but with $\epsilon = 3 + i2\delta$ and $\mu = -2 + i\delta$.

The transition from positive to negative phase velocity is considered in further detail in figure 2 where k_R , k_I , P_{z1} and P_{z2} are plotted against β for three different values of dissipation parameter δ . At $\theta = \pi/6$, PPV behaviour is observed for all values of $\beta \in (0, 1)$. On the other hand, when $\theta = 5\pi/6$, k_R becomes negative—indicating that the phase velocity is directed opposite to the power flow—for large values of β . The transition from $k_R > 0$ to $k_R < 0$



Figure 6. As figure 3 but with $\epsilon = 3 + i2\delta$ and $\mu = -2 + i\delta$.

coincides with a local maximum in k_I . This maximum is particularly pronounced at low values of δ .

Similar behaviour is observed when k_R , k_I , P_{z1} and P_{z2} are viewed as functions of θ , as revealed in figure 3. For $\beta = 0.3$, only positive values of k_R are observed for all angles $\theta \in (0, \pi)$. When $\beta = 0.9$, transitions from positive to negative values of k_R ,

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Figure 7. As figure 1 but with $\epsilon = -3 + i2\delta$ and $\mu = -2 + i\delta$.

and accompanying maximum values of k_I , are seen at large angles θ for $\delta = 0.1, 0.5$ and 1. As in figure 2, the positive-to-negative transition of k_R and the maximum of k_I are most noticeable for $\delta = 0.1$.

3.2. $\epsilon_R > 0$ and $\mu_R < 0$

The θ and β dependences of k_R , k_I , P_{z1} and P_{z2} are shown in figure 4 for $\epsilon = 3 + i2\delta$ and $\mu = -2 + i\delta$ with $\delta = 0.5$. As in figure 1, the PPV regime extends over much of the $\theta\beta$ plane, but a region of NPV behaviour—which extends over a larger area of the $\theta\beta$ plane than does the corresponding region in figure 1—is observed where θ and β have their largest values.

The k_R transition from positive to negative values is revealed in greater detail in figure 5 where k_R, k_I, P_{z1} and P_{z2} are plotted as functions of β for $\delta = 0.1, 0.5$ and 1. At $\theta = \pi/6, k_R > 0$ and PPV propagation is inferred for all values of $\beta \in (0, 1)$. However, for $\theta = 5\pi/6$, we see that $k_R < 0$ for all but the very smallest values of β . Furthermore, unlike the situation depicted in figures 1–3 for $\epsilon_R > 0$ and $\mu_R > 0$, here the transition from $k_R > 0$ to $k_R < 0$ is not accompanied by a local maximum in k_I . Indeed, as $\beta \rightarrow 1$, we see that the values of k_I become increasingly small whereas the values of $|k_R|$ become increasingly large.

The quantities k_R , k_I , P_{z1} and P_{z2} are considered as functions of θ in figure 6 for $\delta = 0.1, 0.5$ and 1. At $\beta = 0.3$, the real part (k_R) of the wavenumber k is positive at



Figure 8. As figure 2 but with $\epsilon = -3 + i2\delta$ and $\mu = -2 + i\delta$.

low values of θ and becomes negative as θ increases beyond approximately $2\pi/3$. The transition from $k_R > 0$ to $k_R < 0$ coincides with a modest local maximum in k_I . At $\beta = 0.9$, the observed transition from $k_R > 0$ to $k_R < 0$ is rather more abrupt, as is the accompanying local maximum in k_I .



Figure 9. As figure 3 but with $\epsilon = -3 + i2\delta$ and $\mu = -2 + i\delta$.

3.3. $\epsilon_R < 0$ and $\mu_R < 0$

When both ϵ_R and μ_R are negative, we definitely expect to find NPV propagation in the co-moving reference frame (i.e. $\beta = 0$) [10]. The quantities k_R , k_I , P_{z1} and P_{z2} are plotted as functions of θ and β in figure 7 for $\epsilon = -3 + i2\delta$ and $\mu = -2 + i\delta$ with $\delta = 0.5$. The region of

negative k_R (with k_I , P_{z1} and P_{z2} all positive) extends over much of the $\theta\beta$ plane. However, regions of positive k_R are also observed—at high values of β .

More detailed information is provided in figure 8 where k_R , k_I , P_{z1} and P_{z2} are plotted against β for $\delta = 0.1$, 0.5 and 1. At $\theta = \pi/6$, $k_R < 0$ for low values of β but becomes positive as β increases. The transition from $k_R < 0$ to $k_R > 0$ coincides with a local maximum in k_I , with the positive-to-negative transition of k_R and the maximum of k_I being particularly abrupt for $\delta = 0.1$. Thus, the NPV propagation which develops at low values of β (including $\beta = 0$) is replaced by PPV propagation at sufficiently large values of β .

Further insights may be gained by considering the plots of k_R , k_I , P_{z1} and P_{z2} as functions of θ in figure 9, for $\delta = 0.1, 0.5$ and 1. At $\beta = 0.3$, the NPV regime is observed to prevail for all values of $\theta \in (0, \pi)$, with the exception of very small values of θ for $\delta = 1$. On the other hand, at $\beta = 0.9, k_R > 0$ at low values of θ but undergoes a transition to become $k_R < 0$ as θ increases. The positive-to-negative transition of k_R is accompanied by a sharp local maximum in k_I , with the local maximum being particularly sharp for $\delta = 0.1$.

4. Conclusions

That isotropic homogeneous mediums characterized by $\epsilon_R < 0$ and $\mu_R < 0$ support NPV propagation has become firmly established in recent years [2, 10]. Furthermore, it was recently noted that NPV behaviour may develop if only one of ϵ_R or μ_R is less than zero [11]. It is demonstrated here that the { ϵ_R , μ_R } regime giving rise to NPV behaviour may be extended considerably by considering planewave propagation in a uniformly moving reference frame.

In section 1 we asked the following question: Can a medium which is of the PPV type when viewed in a stationary reference frame be of the NPV type when viewed in a reference frame moving at constant velocity? 'Yes' is the answer. In particular,

- (a) a stationary PPV medium with $\epsilon_R > 0$ and $\mu_R > 0$ may be viewed as a NPV medium provided it is moving at a sufficiently large uniform velocity;
- (b) a stationary PPV medium with $\epsilon_R > 0$ and $\mu_R < 0$ (or $\epsilon_R < 0$ and $\mu_R > 0$) may be viewed as a NPV medium provided it is moving at a sufficiently large uniform velocity;
- (c) a stationary NPV medium with $\epsilon_R < 0$ and $\mu_R < 0$ may be viewed as a PPV medium provided it is moving at a sufficiently large uniform velocity.

These findings have significant scientific and technogical implications for the realization of NPV propagation: to date, NPV propagation has been observed experimentally only in microwave metamaterials comprising conducting wire/ring inclusions, embedded periodically on printed circuit boards [3, 4]. It is demonstrated here that NPV propagation is achievable in *homogeneous* dielectric-magnetic mediums, when observed in a reference frame which is translated at a sufficiently high velocity. We expect these results to be significant for space telemetry, especially for remotely probing the surfaces of planets from space stations.

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